

Quality Assurance and Improvement Programme

Action Plan for 2023/24					
Reference	Standard	Issue Found	Action Required	Officer Responsible For Delivery	Target Date
Peer Review Item C (Page 11/Section 1)	Code of Ethics	Statements of Good Practice Consideration should be given to annually asking auditors to agree to abide by all the relevant audit docs e.g. [audit] manual, charter, code of ethics etc.	All auditors will be asked to sign a statement to this effect on an annual basis.	Audit Manager	31 August 2023
Peer Review Item E (Page 32/Section 4)	–	Senior Managers and Chair feedback It was noticeable that the poorest scores [on the feedback questionnaire] came from the Chair of the Audit and Standards Committee. However, his concerns do not seem to be about the function but rather how the function is viewed. Therefore, a meeting should be held with the Chair to discuss his concerns and agree how to improve this.	A meeting with the Chair of the Audit and Standards Committee will be arranged to discuss his concerns and improve his understanding of how Internal Audit operate.	Audit Manager	31 August 2023
Peer Review Item F (Page 32/Section 4)	–	Senior Managers and Chair feedback Discuss, with relevant officers, areas where there is partial agreement [to the impact statements on the feedback questionnaire]. Agree actions to improve on these areas.	This feedback will be followed up with a view to understanding the officers' concerns and addressing the issues raised.	Audit Manager	31 August 2023